

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Title:

Low Profile Chip Scale Stacking System and Method

Application No.:

10/631,886

Filing Date:

07/14/2003

Inventor:

James W. Cady, et al.

Assignee:

Staktek Group, L.P.

Examiner:

To Be Assigned

Group:

2831

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Pursuant to the guidelines for Information Disclosure Statements, 37 C.F.R. §§ 1.97-1.98, attached hereto is PTO Form 1449 (nine sheets) with references listed for consideration by the Office.

In accordance with 37 C.F.R. § 1.98(a), copies of the listed written references are being provided.

In accordance with 37 C.F.R. §§ 1.97(g)-(h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b) or prior art under 35 U.S.C. § § 102 or 103.

This Information Disclosure Statement is being filed before receipt of the first Office action, so no fee is believed to be due. The Commissioner is hereby authorized to charge any fees which may be required in connection with the filing, or to credit any overpayment to Deposit Account No. 50-0897,

upon which account the undersigned has the authority to sign. A duplicate copy of Form 1449 is enclosed.

Respectfully submitted,

ANDREWS & KURTH LLP

By:

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February 9, 2004

VIA US MAIL

MAIL STOP DD Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

App No. 10/631,886; "Low Profile Chip Scale Stacking System and Method" Docket No. 0254-094-CIP-5

Dear Commissioner:

Please find enclosed for filing the following:

- 1. Information Disclosure Statement;
- 2. Form 1449 (and one duplicate copy);
- 3. 142 references; and

• ?

4. a return postcard for confirmation of receipt.

The Commissioner is hereby authorized to charge any fees which may be required to Deposit Account 50-0897. Please return the postcard confirming your receipt of the enclosed materials.

Yours truly,

ANDREWS & KURTH LLP

Pam Menke

Board Certified Legal Assistant in Civil Trial Law

Texas Board of Legal Specialization

/pm

enclosures

Sheet 1 of 9 FEB Form PTO-1449 (Modified U.S. Dept. of Commerce SERIAL NO. ATTY. DOCKET NO. (Rev. 11/92) Patent and Trademark Office 0254-094-CIP-5 10/631,886 INFORMATION STATEMENT BY APPLICANT APPLICANT (Use several sheets if necessary) Cady, et al : FILING DATE **GROUP** (37 CFR 1.98(b)) 7/14/03 2818 U.S. PATENT DOCUMENTS *Examiner Patent Class Issue Subclass Filing Date (if Initial Number Date Patentee appropriate) 4,763,188 8/9/1988 Johnson, Thomas 357/74 11/4/1987 5,239,198 8/24/1993 Lin et al. 257/693 7/2/1992 6,028,365 2/22/2000 Akram et al. 257/778 3/30/1998 6,433,418 8/13/2002 Fujisawa et al. 257/696 4/29/1999 6,208,521B1 3/27/2001 Nakatsuka, Yasuo 361/749 5/19/1998 6,265,660B1 7/24/2001 Tandy, Patrick W. 174/52.4 8/18/2000 FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION Translation Document Number Date Country Class Subclass Yes 5-21697(A) 01/1993 Japan Partial 57-31166(A) 02/19/1982 Japan Partial 58-96756(A) 06/08/1983 Japan **Partial** 07/04/1983 58-112348(A) Japan Partial 60-254762 12/1985 Japan Partial 62-230027(A) 10/08/1987 Partial Japan 63-153849(A) 06/27/1988 Partial 1 OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) Dense-Pac Microsystems, 16 Megabit High Speed CMOS SRAM DPS1MX16MKn3 Dense-Pac Microsystems, 256 Megabyte CMOS DRAM DP3ED32MS72RW5 Dense-Pac Microsystems, Breaking Space Barriers, 3-D Technology 1993 Dense-Pac Microsystems, DPS512X16A3, Ceramic 512K X 16 CMOS SRAM Module "Design Techniques for Ball Grid Arrays," William R. Newberry, Xynetix Design Systems, Inc. "Chip Scale Packaging and Redistribution," Paul A. Magill, Glenn A. Rinne, J. Daniel Mis, Wayne C. Machon, Joseph W. Baggs, Unitive Electronics Inc.

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

EXAMINER

Form PTO-1449 (Modified (Rev. 11/92) INFORMATIO

U.S. Dept. of Commerce Patent and Trademark Office

SURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
0254-094-CIP-5	10/624,097
APPLICANT	
Cady, et al	
FILING DATE	GROUP :
7/21/03	2818

(37 CFR 1.98(b))

*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass		Date (if priate)
	6,300,679B1	10/9/2001	Mukerji et al.	257/738		6/1/1998	3
	6,462,412B2	10/8/2002	Kamei et al	257/723		1/17/200)1
	6,465,893B1	10/15/2002	Khandros et al.	257/777	ļ	10/19/20	000
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	6,560,117B2	5/6/2003	Moon, Ow Chee	361/749		8/30/200)1
	6,572,387B2	6/3/2003	Burns et al.	439/69		3/19/200)2
	6,590,282B1	7/8/2003	Wang et al.	257/686		4/12/2002	
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	Document Number	Data	Carre	Class		Trans	lation
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	EP 461-639-A	12/1991	European			х	
	EP 0 298 211 A#	01/11/1989	European			х	
_	EP 122-687-A	10/24/1984	European			х	
	SU 834-957	05/30/1981	Russian	ļ		Partial	
		oser Block for Pe	OTHER DOCUMENTS DING AUTHOR, TITLE, DATE, PERTINENT PAGES, ersonalizing Stacked Module Interconnections," IBM 1	ETC.)			
	IBM Preliminary	168 Pin SDRAM	1 Registered DIMM Functional Description & Timing	Diagrams			
			nse Multichip Modules," Christian VAL, Thomson-CSI ermeasures Division	F DCS Computer	Division, Thier	ry Lemoine	·,
	"High Density M	emory Packaging	g Technology High Speed Imaging Applications," Dear	Frew, Texas Ins	truments Incorp	orated	
		ries Inc - Semio	conductor Intellectual Property, Chip Scale Packaging -	- Wehsite nages (3)		
			tacked Memory Package for Computing and Portable E			adia To-	D: 1 1

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(37 CFR 1.98(b))

*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	, -	Date (if
	6,620,651B2	9/16/2003	He et al.	438/113		10/23/20	
	US2002/0139577A 1	10/3/2002	Miller, Charles A.	174/261		3/27/200)1
	US2002/0164838A 1	Nov-02	Moon et al.	438/107		6/5/2001	
. 	US2002/0180022A 1	12/5/2002	Emoto, Yoshiaki	257/686		7/19/200)2
	6,072,233	6/6/2000	Corisis et al.	257/686		5/4/1998	3
	Re 36,916	10/17/2000	Moshayedi	257/723		04/22/19	98
	3,436,604	04/01/1969	Hyltin	317/101		04/25/19	066
	3,727,064	04/10/1973	Bottini	250/217		03/17/19	71
	3,654,394	04/04/1972	Gordon	179/15 BL		07/08/19	69
	3,746,934	07/17/1973	Stein	317/101		05/06/19	71
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		FOREIGN PA	TENT OR PUBLISHED FOREIGN PATENT APPL	ICATION			
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						Yes	No
		(INCLUI	OTHER DOCUMENTS DING AUTHOR, TITLE, DATE, PERTINENT PAGES, E	TC.)			
	Ron Bauer, Intel.	"Stacked-CSP I	Delivers Flexibility, Reliability, and Space-Saving Capab	ilities", Spring 20	002.		
	Tessera uZ Ball S	Stack Package. 4	figures that purport to be directed to the uZ - Ball Stack	ed Memory, 1 P	age.		
	"Vertically-Intere	rated Package "	Alvin Weinberg, Pacesetter, Inc. and W. Kinzy Jones, F.	lorida Internation	nal University		
	1 Vertically litter	<u>9 - 100 : uonugo,</u>	,				

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7/21/03	2818

(37 CFR 1.98(b))

*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass		Date (if priate)
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	4,288,841	09/08/1981	Gogal	361/414		09/20/19	79
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	4,437,235 .	03/20/1984	McIver	29/840		089/23/1	982
	4,513,368	04/23/1985	Houseman	364/200	ļ	05/22/19	81
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		FOREIGN PA	TENT OR PUBLISHED FOREIGN PATENT AP	PLICATION			
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		nent Number Date	Country .			Yes	No
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	Flexible Thinking	g: Examining the	Flexible Circuit Tapes. (Website 2 pages) Fjelstad, J	oseph.			
			for Demanding Applications - Advanced packaging to with small size and low cost. (Website 3 pages with 2				
	Flexible Printed (Circuit Technolo	gy - A Versatile Interconnection Option. (Website 2 p	ages) Fjelstad, Jos	eph. December	3, 2002.	
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FEB 8 9 2004 Form PTO-1449 (Modified) (Rev. 11/92) INFORMATION

U.S. Dept. of Commerce Patent and Trademark Office

ATTY. DOCKET NO. SERIAL NO. 0254-094-CIP-5 10/624,097

STATEMENT BY APPLICANT

APPLICANT Cady, et al

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	4,696,525	09/29/1987	Coller, et al	439	/69	· · · · · · · · · · · · · · · · · · ·	12/13/19	35
	4,733,461	03/29/1988	Nakano	29/3	330		12/24/19	35
	4,821,007	04/11/1989	Fields, et al	333	/238	•	02/06/19	37
	4,823,234	04/18/1989	Konishi, et al	361	/386		07/01/19	36
	4,833,568	05/23/1989	Berhold	361	/383		01/29/19	38
	4,862,249	08/29/1989	Carlson	357	/80		04/17/198	37
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	4,911,643	03/27/1990	Реггу, et al	439	67		08/03/198	39
	4,953,060	08/28/1990	Lauffer, et al	361	/388		05/05/198	39
	4,956,694	09/11/1990	Eide	357	74		11/04/198	88
	4,983,533	01/08/1991	Go	437	7		10/28/198	37
	4,985,703	01/15/1991	Kaneyama	341.	141		02/02/198	19
	5,012,323	04/30/1991	Farnworth	357.	75		11/20/198	9
	5,016,138	05/14/1991	Woodman	361.	381		09/18/198	9
	5,034,350	07/23/1991	Marchisi	437	207		03/14/199	0
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Form PTO-1449 (Modified) (Rev. 11/92) INFORMATION DISCLOS

FEB 0 9 2004

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ATTY. DOCKET NO 0254-094-CIP-5

SERIAL NO.

APPLICANT

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10/624,097

(Use several sheets if necessary)

Cady, et al FILING DATE

: GROUP 2818

(37 CFR 1.98(b))

S. PATENT	DOCUMENTS						
*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing I	
	5,041,015	08/20/1991	Travis	439/492		03/30/199	90
	5,099,393	03/24/1992	Bentlage, et al	361/413		03/25/199	91
	5,104,820	04/14/1992	Go, deceased, et al	437/51		06/24/199	91
	5,138,430	08/11/1992	Gow, 3 rd , et al	357/70		06/06/199)1
	5,159,434	10/27/1992	Kohno, et al	357/80		02/01/199	91
	5,198,888	03/30/1993	Sugano, et al	257/686		12/20/199	90
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	5,224,023	06/29/1993	Smith, et al.	361/412		02/10/199	92
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	5,247,423	09/21/1993	Lin, et al	361 <i>1</i> 719		05/26/199	92
	5,259,770	11/09/1993	Bates, et al	439/66		03/19/199	92
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	5,289,062	02/22/1994	Wyland	307/577		03/23/199)3
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Form PTO-1449 (Modified)
(Rev. 11/92)

INFORMATION DESIGNATION

(37 CFR 1.98(b))

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ATTY. DOCKET NO.	SERIAL NO.
0254-094-CIP-5	10/624,097
APPLICANT	
Cady, et al	•
FILING DATE	GROUP
7/21/03	2818

Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing I	
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	5,347,428	09/13/1994	Carson, et al	361/760		12/03/199)2
	5,375,041	12/20/1994	McMahon	361/749		12/02/199)2
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	5,420,751	05/30/1995	Burns	361/707		10/08/199)3
	5,446,620	08/29/1995	Burns, et al	361/704		10/08/199	13
	5,455,740	10/03/1995	Burns	361/735		03/07/199	14
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	5,493,476	02/20/1996	Burns	361/735		05/22/199	5
	5,499,160	03/12/1996	Burns	361/704		01/30/199	15
	5,514,907	05/07/1996	Moshayedi	257/723		03/21/199	15
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		FOREIGN PA	TENT OR PUBLISHED FOREIGN PATENT APP	LICATION			¥ .
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EXAMINER

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INFORMATION INCLOSURES

U.S. Dept. of Commerce Patent and Trademark Office

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0254-094-CIP-5	10/624,097
APPLICANT	
Cady, et al	
FILING DATE	GROUP :

2818

(37 CFR 1.98(b))

Initial	Number	Issue Date	Patentee	Class	Subclass		Date (if priate)
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	5,572,065	11/05/1996	Burns	257/666		10/24/19	94
	5,592,364	01/07/1997	Roane	361/735		01/24/19	95
	5,612,570	03/18/1997	Eide, et al	257/686		04/13/19	95
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	5,654,877	08/05/1997	Burns	361/713		08/18/19	95
	5,776,797	07/07/1998	Nicewarner, Jr., et al	438/107		07/02/19	97
	5,801,437	09/01/1998	Burns	257/685		08/11/19	95
	5,805,422	09/08/1998	Otake, et al	361 <i>/</i> 749		O8/15/19	97
	5,835,988	11/10/1998	Ishii	257/684		10/24/19	96
	5,869,353	02/09/1999	Levy, et al	438/109		11/17/19	97
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*Examiner Initial	Patent Number	Issue Date	Patentee	Patentee			Filing appro	Date (if priate)	
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OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

EXAMINER DATE CONSIDERED

^{*}EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.